

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zhiping Yin et al.

Title: TRANSPARENT AMORPHOUS CARBON STRUCTURE IN SEMICONDUCTOR DEVICES

Docket No.: 303.864US1

Serial No.: 10/661379

Filed: September 12, 2003

Due Date: N/A

Examiner: Unknown

Group Art Unit: 2661

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450



We are transmitting herewith the following attached items (as indicated with an "X"):

- A return postcard.  
 An Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.).

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

By: \_\_\_\_\_



Atty: Viet V. Tong

Reg. No. 45,416

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 21<sup>st</sup> day of February, 2004.

Judith Dent  
Name

Judy Dent  
Signature

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
(GENERAL)

S/N 10/661379

PATENT



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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered.

INFORMATION DISCLOSURE STATEMENT

Serial No :10/661379

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Title: TRANSPARENT AMORPHOUS CARBON STRUCTURE IN SEMICONDUCTOR DEVICES

Page 2  
Dkt: 303.864US1

The present application is either a U.S. national patent application filed after June 30, 2003 or an international application that entered the national stage under 35 U.S.C. § 371 after June 30, 2003. Thus, Applicant believes that the U.S. Patent & Trademark Office has waived the requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication. The waiver is provided in a pre-OG notice from the U.S. Patent & Trademark Office entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003" and dated July 11, 2003. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

ZHIPING YIN ET AL.

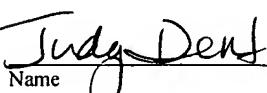
By their Representatives,

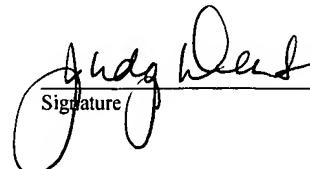
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6969

Date 2/26/04

By   
Viet V. Tong  
Reg. No. 45,416

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Name

  
Signature

Substitute for form 1449A/PTO  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
 (Use as many sheets as necessary)



Sheet 1

Complete if Known

<b>Application Number</b>	10/661379
<b>Filing Date</b>	September 12, 2003
<b>First Named Inventor</b>	Yin, Zhiping
<b>Group Art Unit</b>	2661
<b>Examiner Name</b>	Unknown

Attorney Docket No: 303.864US1

**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2002/0003239	01/10/2002	Ramdani et al.	257	190	04/02/2001
	US-5,096,791	03/17/1992	Yahalom, Joseph	430	5	11/29/1989
	US-5,198,263	03/30/1993	Stafford et al.	427	577	03/15/1991
	US-5,346,729	09/13/1994	Pitts et al.	427	582	05/17/1993
	US-5,358,880	10/25/1994	Lebby et al.	438	26	04/12/1993
	US-5,514,885	05/07/1996	Myrick, James J.	257	216	01/13/1995
	US-5,629,532	05/13/1997	Myrick, James J.	257	77	06/07/1995
	US-5,669,644	09/23/1997	Kaihotsu et al.	294	1.1	11/13/1995
	US-6,649,469	11/18/2004	Wilson	438	253	10/11/2002
	US-6,136,160	10/24/2000	Hrkut et al.	204	192.16	07/08/1999
	US-6,621,535	09/16/2003	Fukada, Takeshi	349	40	04/21/1999
	US-H,566	01/03/1989	Nyaiesh et al.	427	571	12/04/1985

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

**EXAMINER****DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached